



# Hot Topics in FINRA and Securities Litigation

Presented by the Securities CLE Committee of the Palm Beach County Bar Association

**WEDNESDAY, MAY 8, 2019**

11:30 AM - 1:30 PM

Palm Beach County Bar Office

1507 Belvedere Road, West Palm Beach, FL

## Program agenda

- 11:30 AM - 11:45 AM **Check in/Opening Remarks/ Lunch -**  
*Evan H. Frederick, Esq., Morgan & Morgan Business Trial Group;*  
*Securities CLE Committee Chairperson*
- 11:45 AM - 12:35 PM **Technology in FINRA: The Latest on the Portal, Awards Database & Other Trends -**  
*Manly Ray, Regional Director, FINRA*  
*William Cassidy, Esq., Associate Regional Director, FINRA*
- 12:40 PM - 1:05 PM **The Uncollected FINRA Award Dilemma: Perspectives from Both Sides of the “v.”**  
*Gregg Breitbart, Esq., Kaufman Dolowich Voluck LLP*  
*Manly Ray, Regional Director, FINRA*  
*Moderated by Debra A. Jenks, Jenks & Harvey, Committee Member*
- 1:05 PM - 1:30 PM **Ethical Considerations for Lawyers Handling Investment Fraud & Ponzi Scheme Cases -**  
*James D. Sallah, Esq., Sallah Astarita & Cox, LLC*  
*Moderated by Matthew N. Thibaut, Haselkorn & Thibaut, Committee Member*

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1.5 CLER; .5 Ethics; .5 Technology. Cost \$ 75 members; \$115 non-members. Those registering after May 1, add \$10 late fee. All refund requests must be made no later than 48 hours prior to the date of the seminar. Register online at palmbeachbar.org or by mail (return this form with your check)

Name: \_\_\_\_\_ Email address: \_\_\_\_\_

\_\_\_\_\_ I will not be able to attend the seminar, however I would like to order the audio. The cost is the same as listed above, however please include \$10 for shipping and handling. Allow one week for delivery. PBCBA, 1507 Belvedere Rd., W. Palm Beach, FL 33406. 5/8/19 Securities